

# Does Backflow Have Anything to do with Hydraulics

Paul H. Schwartz – USC FCCCHR

8 March 2012

**UF/TREEO Conference**

Does Backflow Have Anything to do with Hydraulics?

8 March 2012

Paul H. Schwartz, P.E.  
Chief Engineer  
Foundation for Cross-Connection Control and Hydraulic Research

USC Viterbi School of Engineering

FOUNDATION FOR CROSS-CONNECTION CONTROL AND HYDRAULIC RESEARCH

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**Presentation**

Does Backflow Have Anything To Do With Backflow?

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**Communicate Effectively**

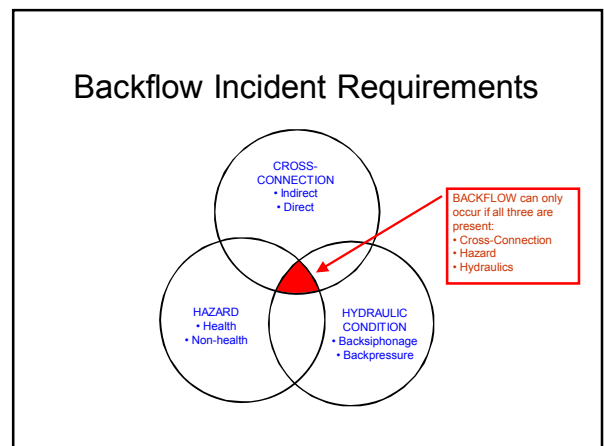
- Consider your audience
- General knowledge of water distribution systems
- Previous misunderstandings

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**EPA Presentations – January 2008**

- What we know about backflow – Including basic hydraulics animation
- What we don't know about backflow, and the research needs

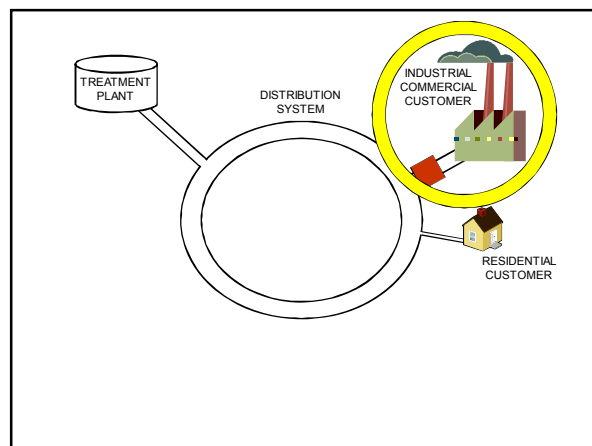
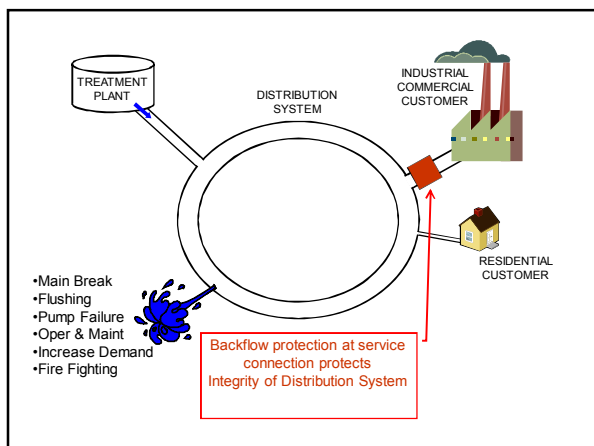
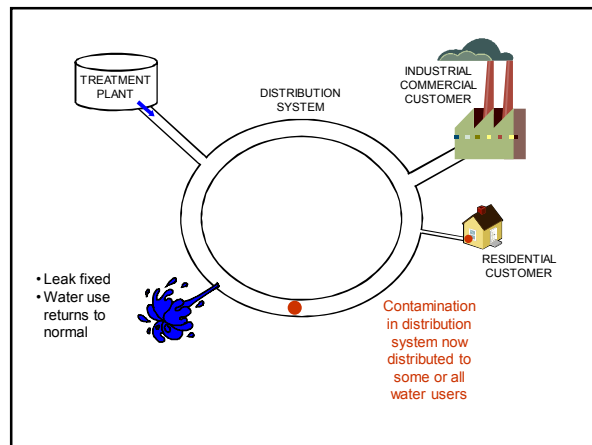
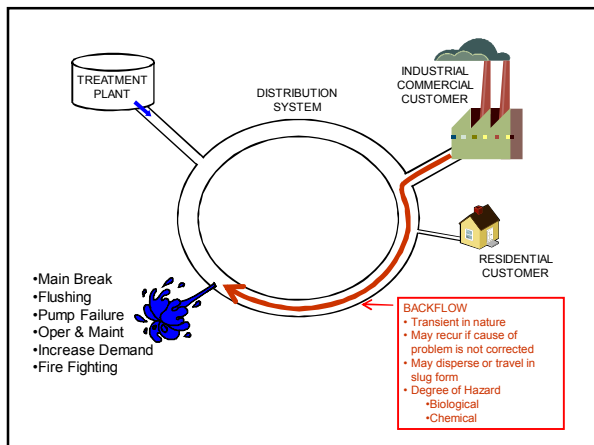
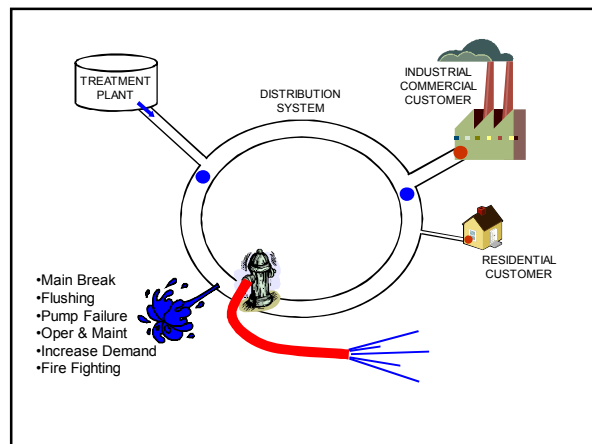
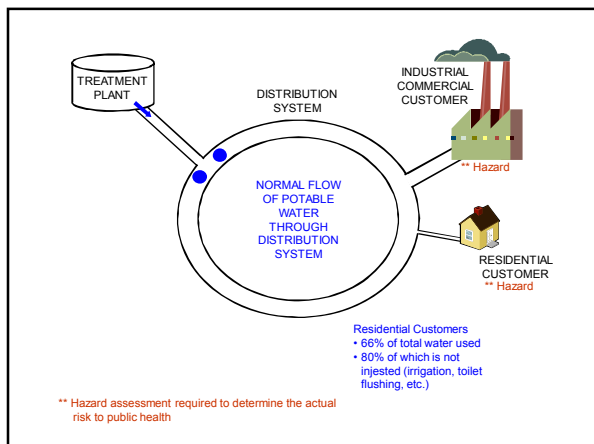
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**UF/TREEO Conference  
Daytona Beach, FL**

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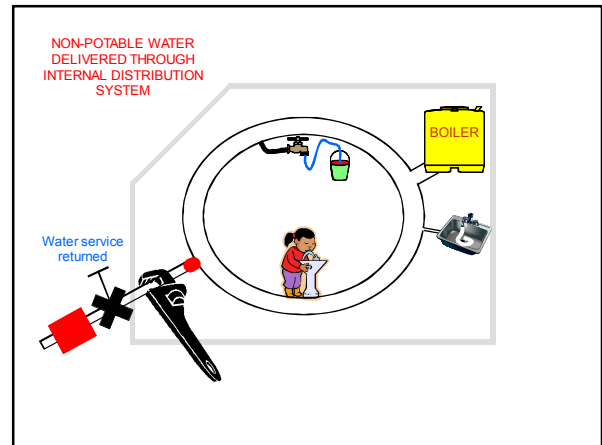
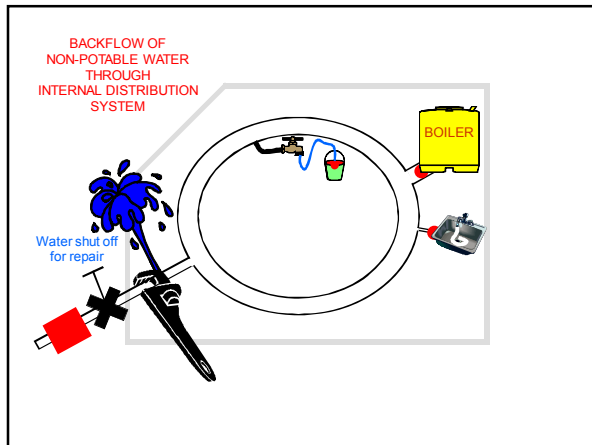
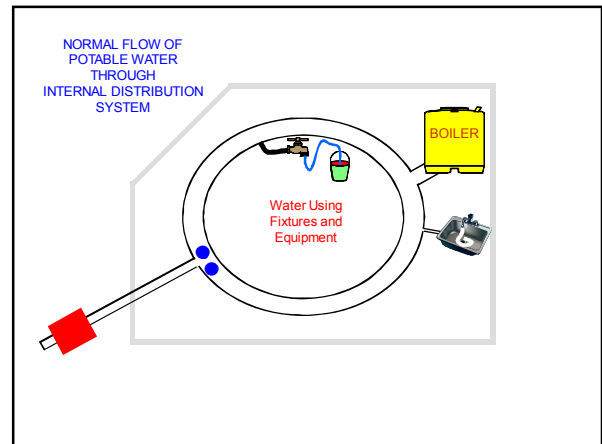
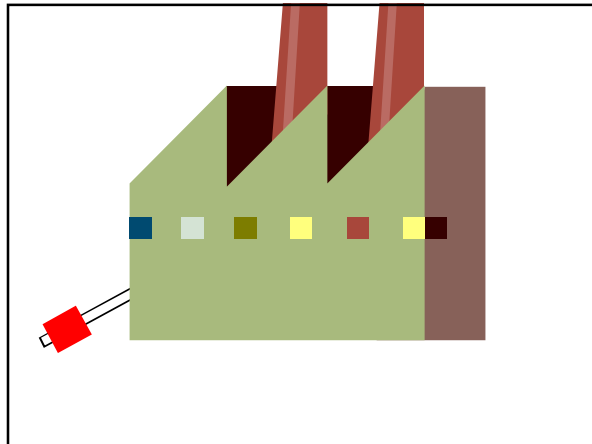
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# Does Backflow Have Anything to do with Hydraulics

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### Today's Overview


- Hydraulic Principles
- Hydraulics and Backflow
- Hydraulics and Backflow Prevention Assemblies

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### Hydraulics

- Backflow is all about Hydraulics
- Backflow is not typically taught in hydraulics courses (i.e., don't blame the engineers !)

My Boss  
Dr. J.J. Lee  
Director, FCCCHR



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# Does Backflow Have Anything to do with Hydraulics

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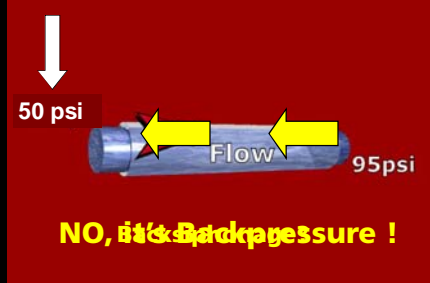
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**Backflow is generally caused by a Difference in Pressure**

- Backsiphonage
- Backpressure

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**Example**



50 psi

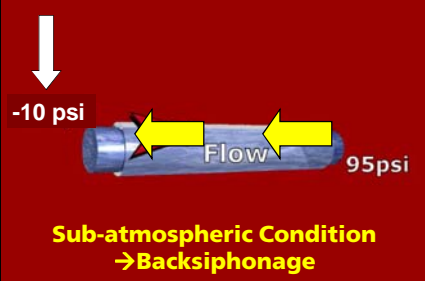
95 psi

Flow

**NO, it's Backpressure !**

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**Example #2**



-10 psi

95 psi

Flow

**Sub-atmospheric Condition  
-> Backsiphonage**

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**Conclusion**

Water always flows from a higher pressure to a lower pressure

**NO**

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**Common Misunderstanding**

- 60-70% of Engineers taking Professional Engineering Exam miss that question
- Confirms P.E. stands for Partially Educated

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**Conclusion Should Be...**

Water always flows from a higher **energy** region to a lower **energy** region

**Bernoulli's Equation**

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**Total Head:**  $H = \frac{V^2}{2g} + \frac{P}{\gamma} + Z + \Sigma H_L$

- $\frac{V^2}{2g}$  Velocity head
- $\frac{P}{\gamma}$  Pressure head
- $Z$  Elevation head
- $\Sigma H_L$  Sum of all head losses

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**Total Head:**  $H = \frac{V^2}{2g} + \frac{P}{\gamma} + Z + \Sigma H_L$

**When:**  $\frac{V^2}{2g}$  increases

$\frac{P}{\gamma} + Z$  decreases

(flowing through smaller pipe or at larger flow rate)

If  $Z$  is high enough,  $\frac{P}{\gamma}$  could drop to subatmospheric

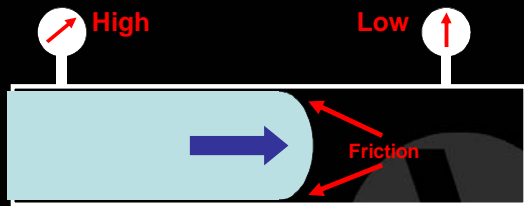
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Is It Over Yet ?



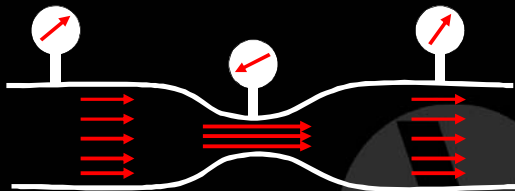
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Change in Pressure



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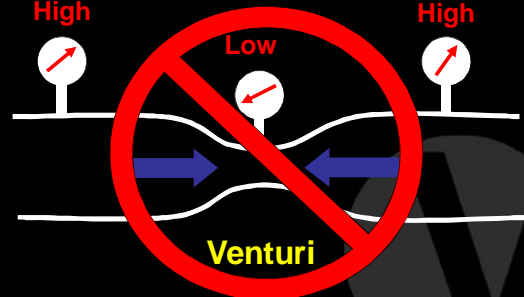
Change in Pressure



**Venturi**

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Change in Pressure



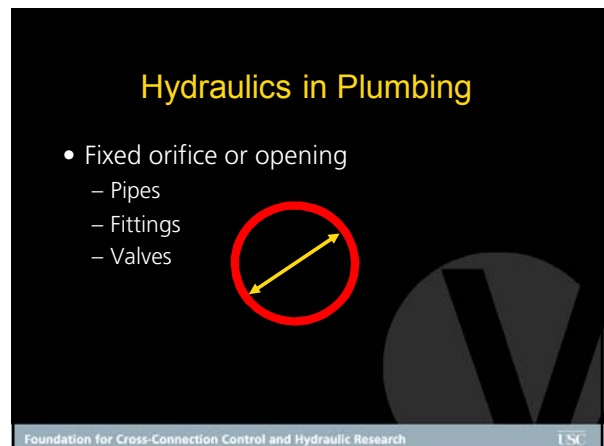
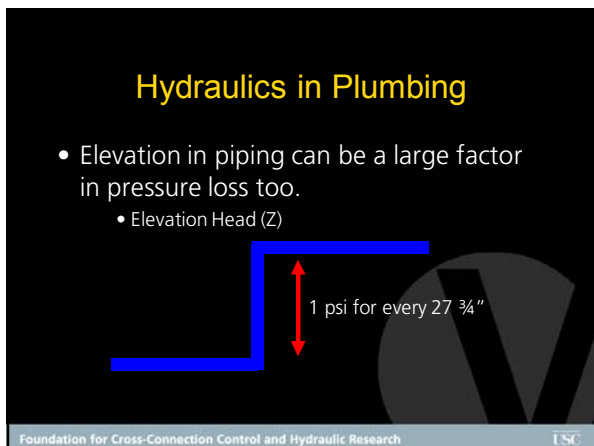
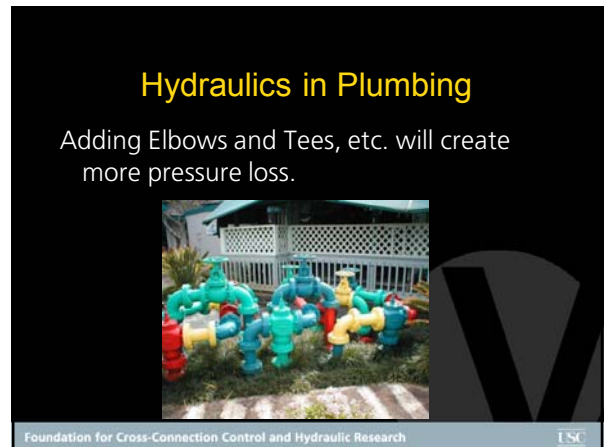
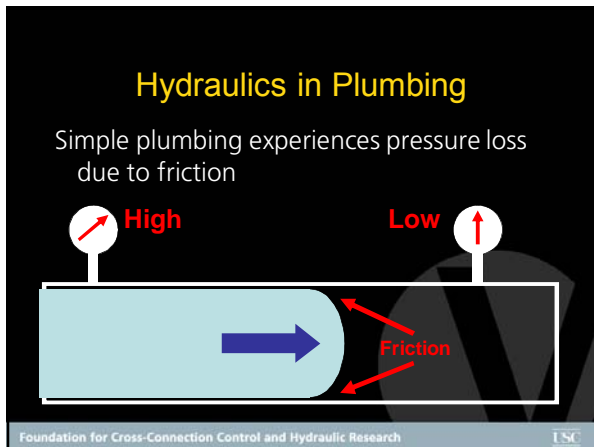
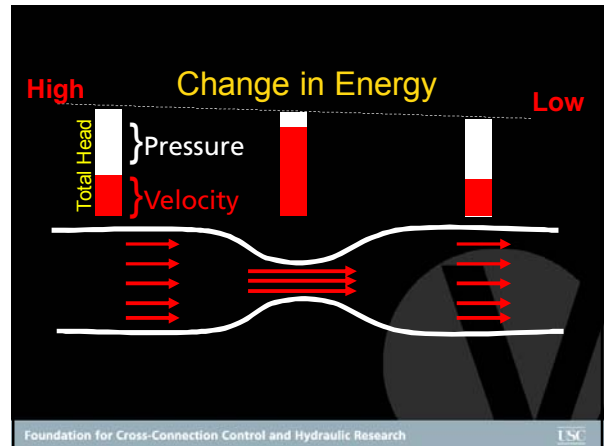
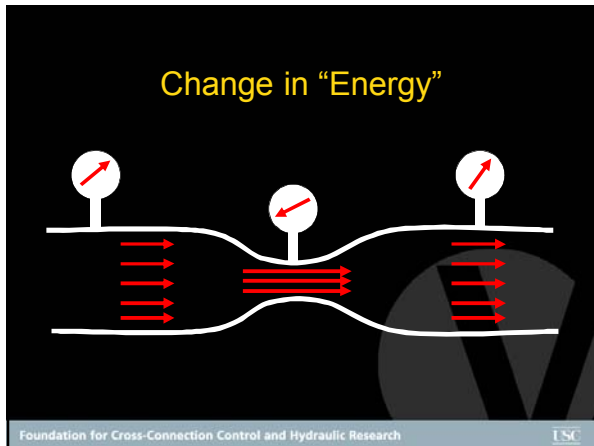
**Venturi**

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### Hydraulics in Plumbing

- Pressure loss can be identified by  $C_v$ 
  - Valve constant
  - Characteristic of each type and size valve.

$$Q = C_v \sqrt{\Delta P}$$

1 psi

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### Hydraulics in Plumbing

- Pressure loss can be identified by  $C_v$ 
  - Valve constant
  - Characteristic of each type and size valve.

$$Q = C_v$$

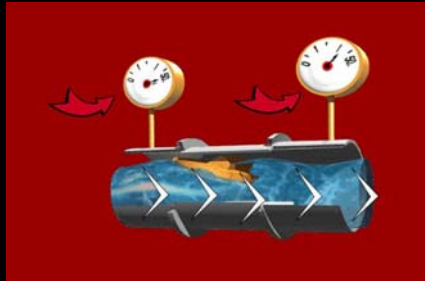
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### Hydraulics in Backflow Prevention Assemblies

- Backflow prevention assemblies don't have fixed openings/orifices
- Check valves are biased closed
  - Weight loaded
  - Spring loaded

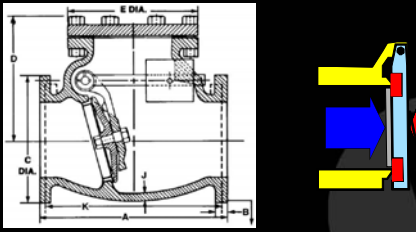
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### Hydraulics in Check Valves



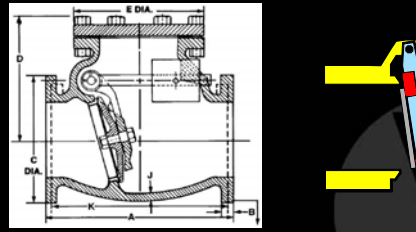
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### Hydraulics in Check Valves



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### Hydraulics in Check Valves

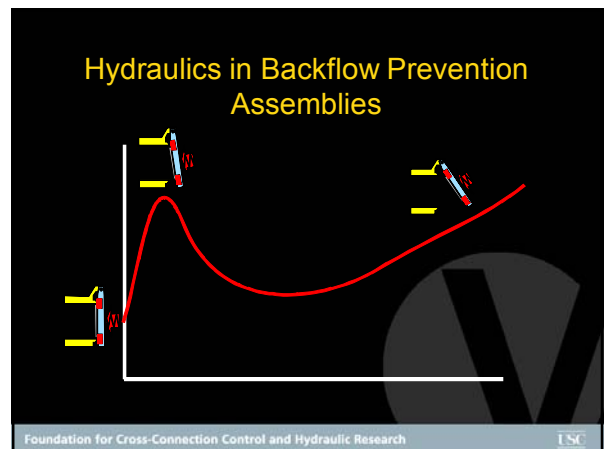
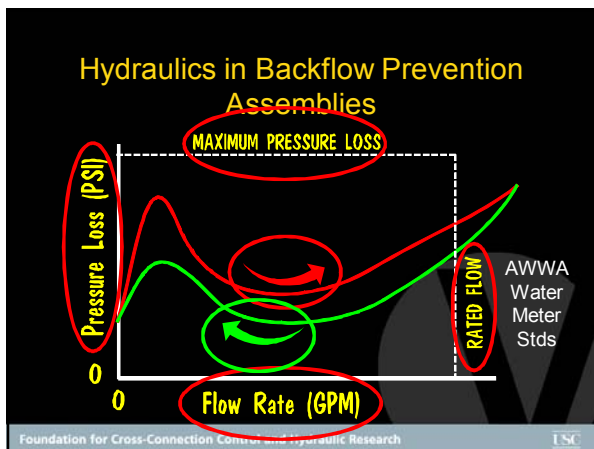
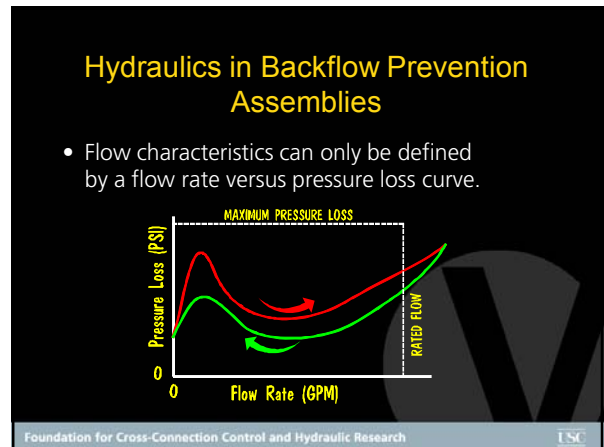
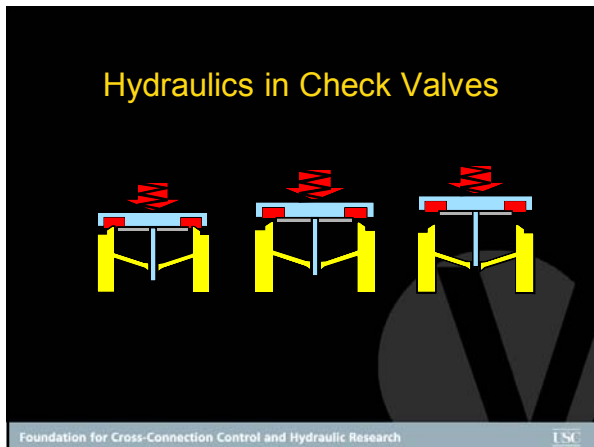
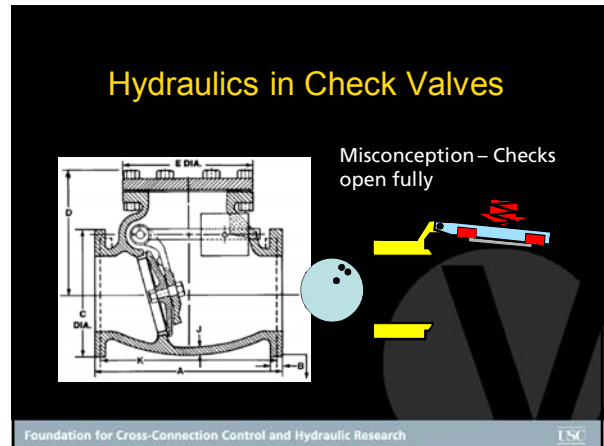
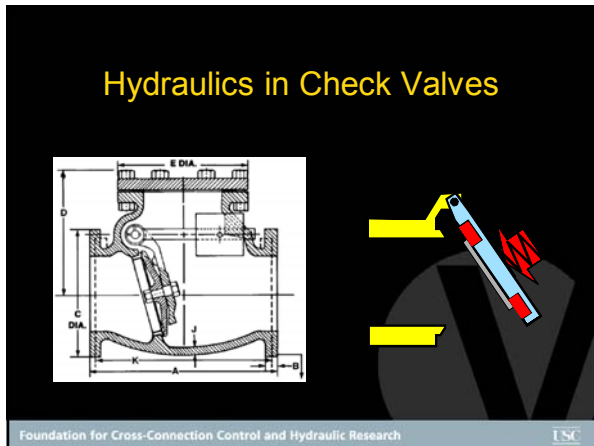


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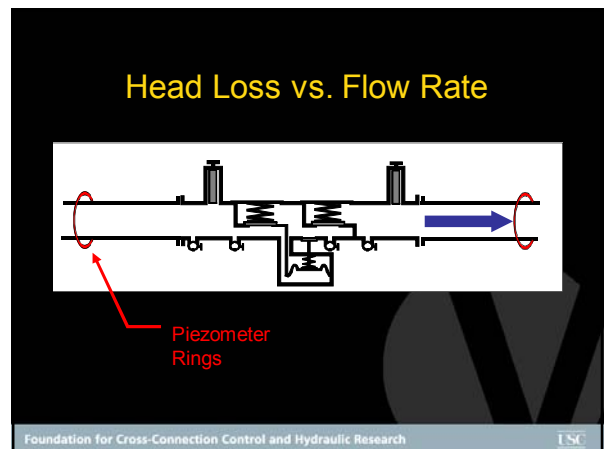
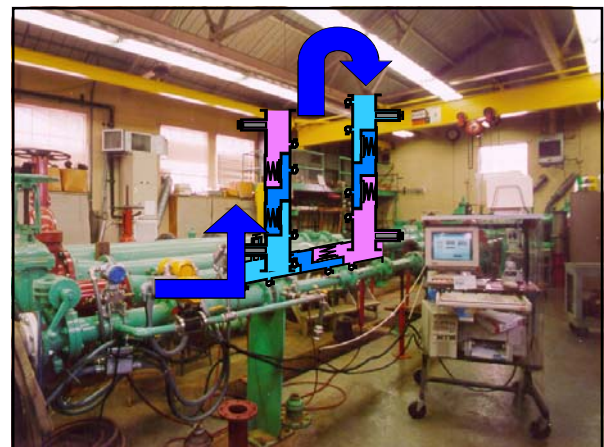
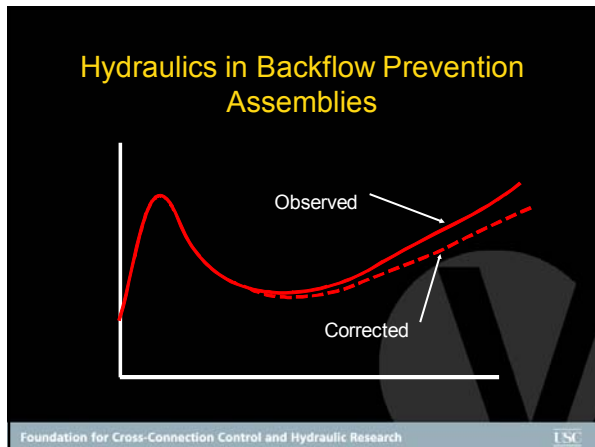
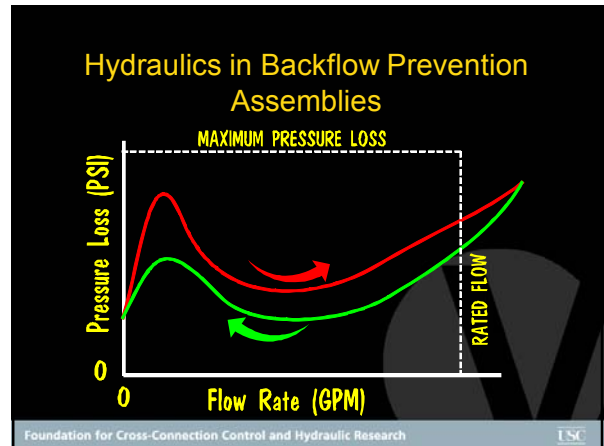
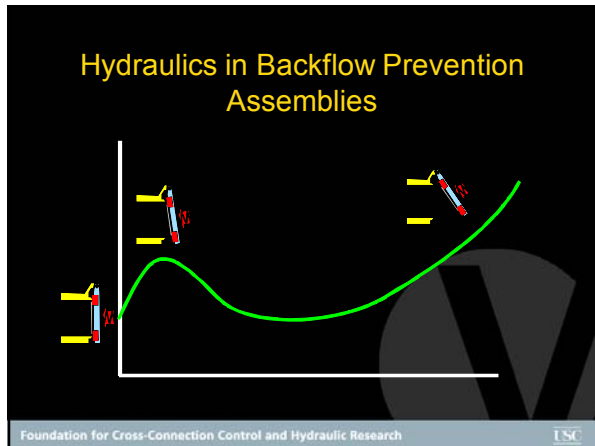




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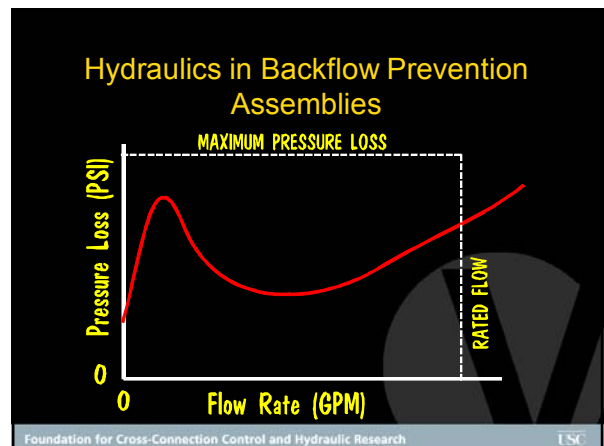
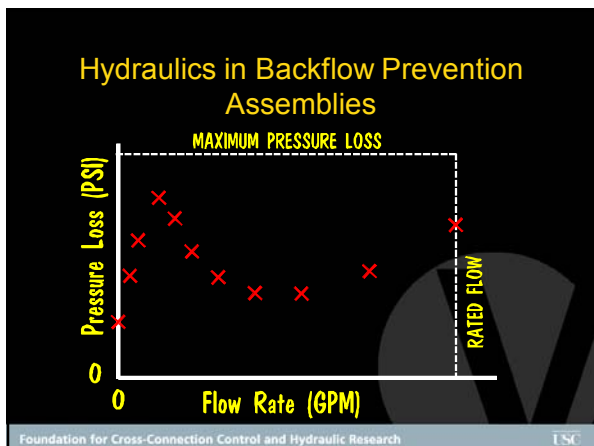
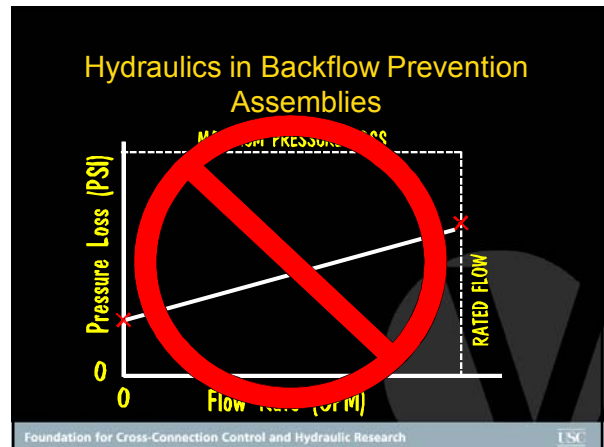
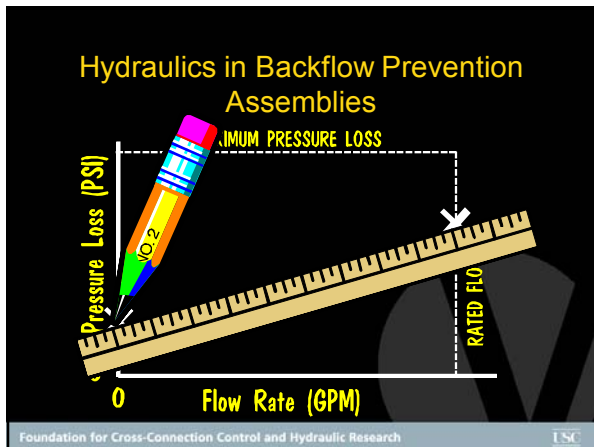
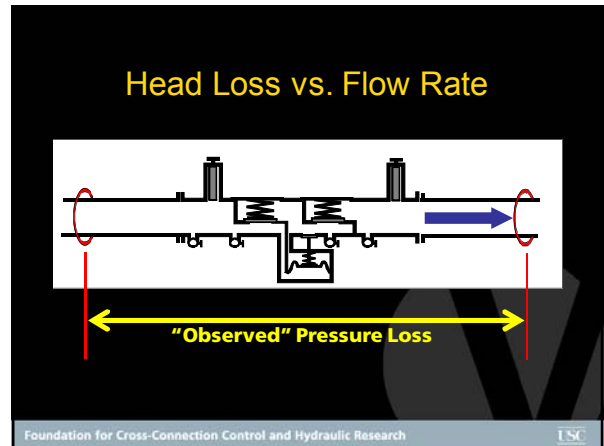
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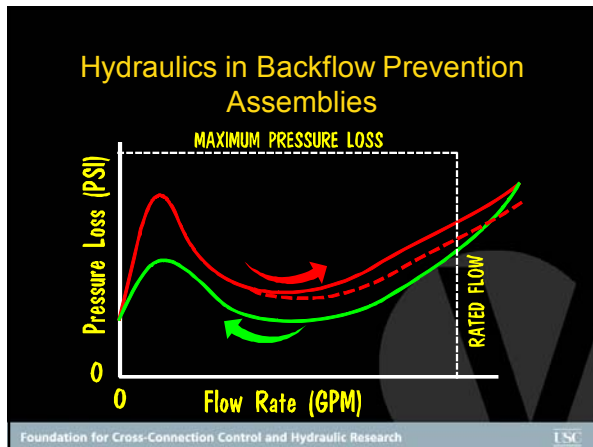
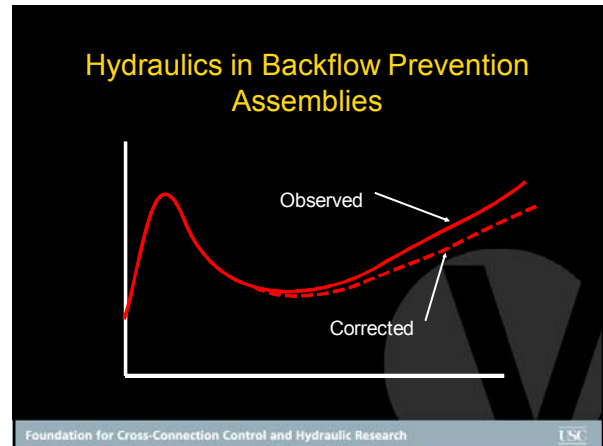
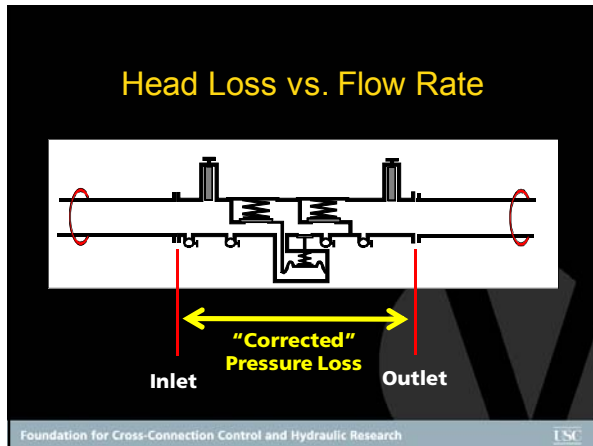
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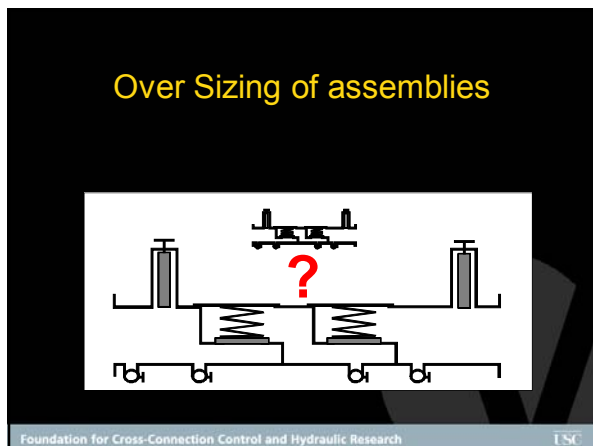
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- ### Over sizing of Assemblies
- Bigger means better?
  - Affects operation of assembly?
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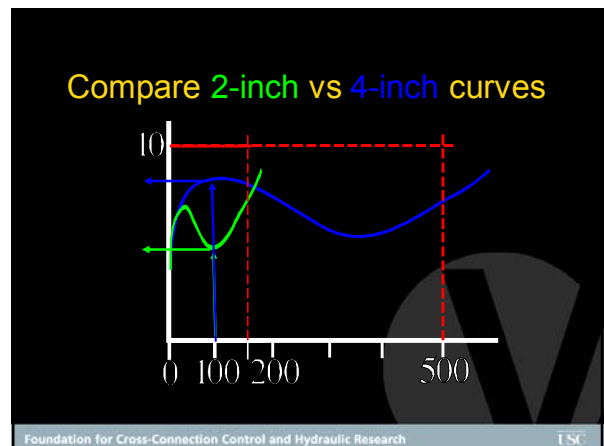
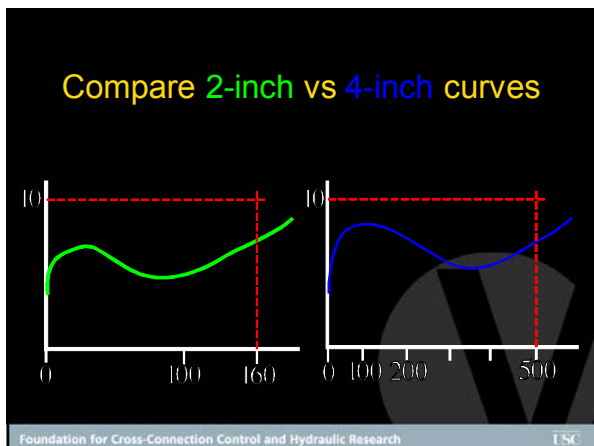
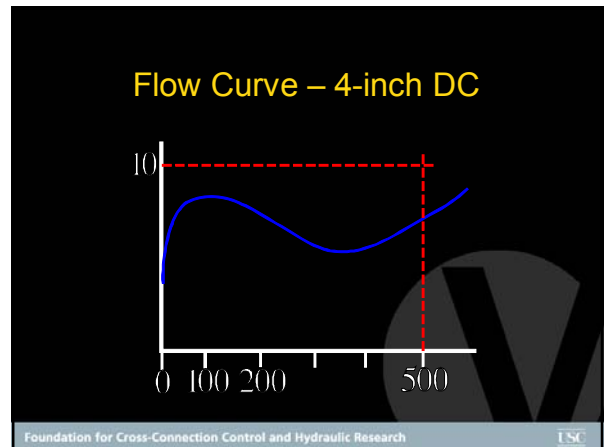
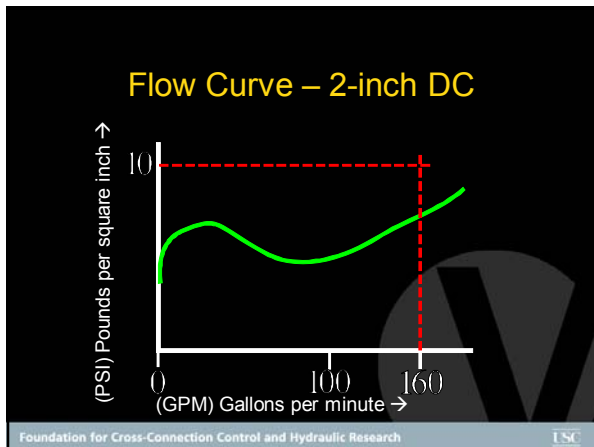
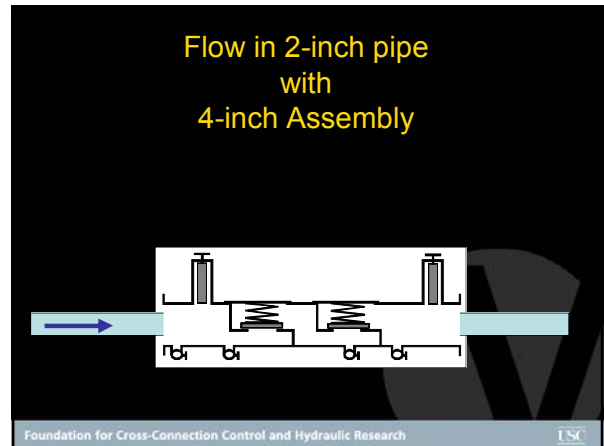
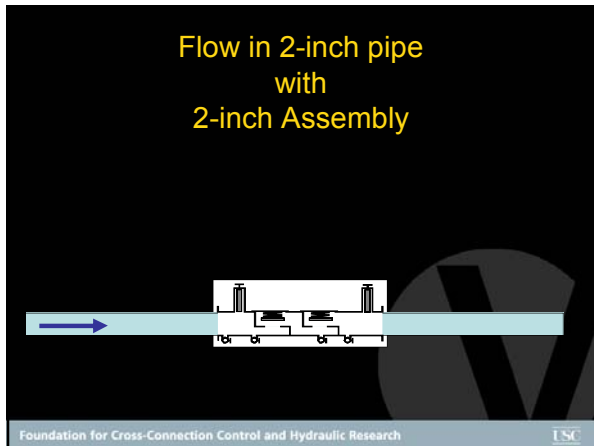


- ### Adequate flow and pressure?
- Oversize backflow preventer
    - Provide more water?
    - Provide more pressure?
  - Compare flow curves
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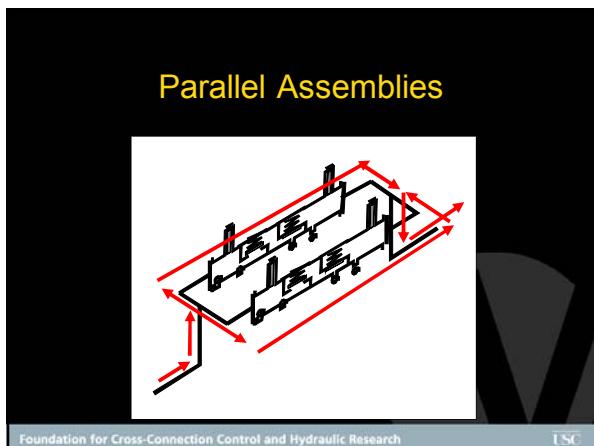
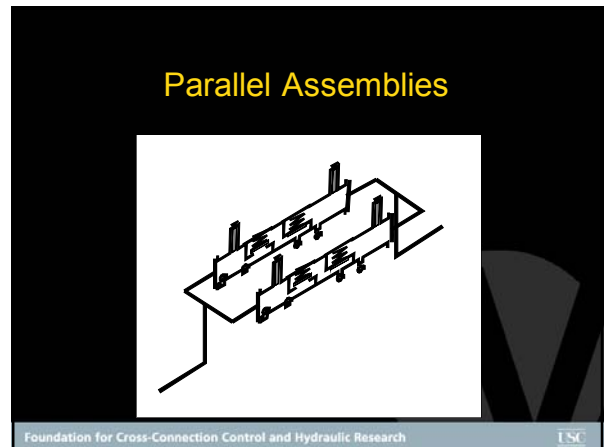
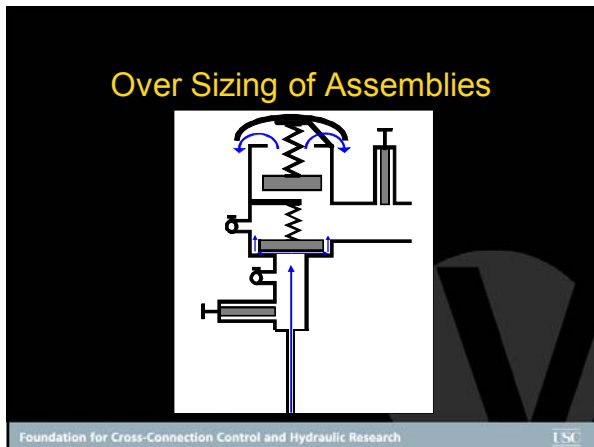
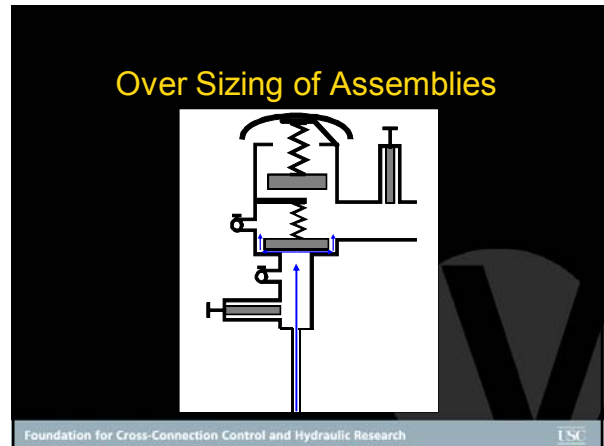
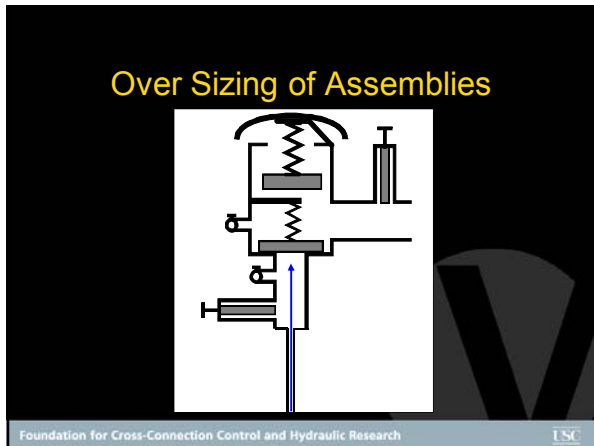
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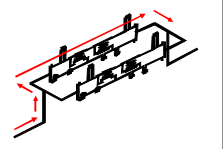
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### Parallel Assemblies

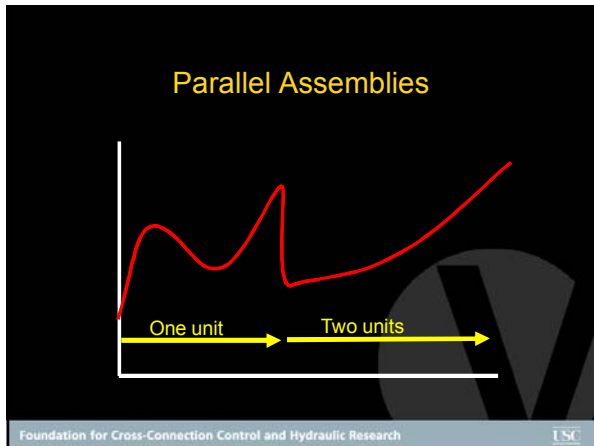
- Operate independently
  - Primary
  - Secondary
- Path of least resistance
  - No two assemblies are identical
- Maintenance/Repair records may differ



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### Manual - 10th Edition

- Chapter 9 - Field Test Procedures
  - Many Updates
  - Clarify diagnostics/troubleshooting
  - New procedures
    - DCDA-II
    - RPDA-II

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### 9th vs 10th Field Test Procedures

Field Test Procedures 9th Edition vs. 10th Edition	
9th Edition	10th Edition
<b>Test No. 1, Step a:</b> Open test cock No. 4, open test cock No. 1, open and close test cock No. 2, open and close test cock No. 3, and close test cock No. 4.	<b>Test No. 1, Step a:</b> Open test cock No. 4, open test cock No. 1, open and close test cock No. 2, then close test cock No. 1, then close test cock No. 3, and close test cock No. 4.
<b>Reason:</b> It is to ensure that there is flow through the assembly to minimize the possibility of discharging the relief valve.	<b>Reason:</b> It is to ensure that there is flow through the assembly to minimize the possibility of discharging the relief valve, especially if under a backpressure condition.
<b>Test No. 2, Step a:</b> Open test cock No. 4, open test cock No. 1, open and close test cock No. 2, open and close test cock No. 3, and close test cock No. 4.	<b>Test No. 2, Step a:</b> Open test cock No. 4, open test cock No. 1, open and close test cock No. 2, then close test cock No. 1, then close test cock No. 3, and close test cock No. 4.
<b>Reason:</b> It is to ensure that there is flow through the assembly to minimize the possibility of discharging the relief valve.	<b>Reason:</b> It is to ensure that there is flow through the assembly to minimize the possibility of discharging the relief valve, especially if under a backpressure condition.
<b>Test No. 3, Step a:</b> Open test cock No. 4, open test cock No. 1, open and close test cock No. 2, open and close test cock No. 3, and close test cock No. 4.	<b>Test No. 3, Step a:</b> Open test cock No. 4, open test cock No. 1, open and close test cock No. 2, then close test cock No. 1, then close test cock No. 3, and close test cock No. 4.
<b>Reason:</b> It is to ensure that there is flow through the assembly to minimize the possibility of discharging the relief valve.	<b>Reason:</b> It is to ensure that there is flow through the assembly to minimize the possibility of discharging the relief valve, especially if under a backpressure condition.
<b>Test No. 4, Step a:</b> Open test cock No. 4, open test cock No. 1, open and close test cock No. 2, open and close test cock No. 3, and close test cock No. 4.	<b>Test No. 4, Step a:</b> Open test cock No. 4, open test cock No. 1, open and close test cock No. 2, then close test cock No. 1, then close test cock No. 3, and close test cock No. 4.
<b>Reason:</b> It is to ensure that there is flow through the assembly to minimize the possibility of discharging the relief valve.	<b>Reason:</b> It is to ensure that there is flow through the assembly to minimize the possibility of discharging the relief valve, especially if under a backpressure condition.

- Side by side comparison
- Reason for modification

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### Manual - 10th Edition

- Chapter 9 - Field Test Procedures
  - Preliminary Steps
    - "Field Test Kit" vs "gauge"
    - Flushing test cocks
      - Fully?
      - Foreign material
      - Verify pressure is available
    - Legend for illustrations
      - Check valves open/closed
        - Flowing/static
      - Testcocks open/closed

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### Manual - 10th Edition

- Section 9.2** — Reduced Pressure Principle Backflow Prevention Assembly (RP)
  - 9.2.1 – Five needle valve field test kit
  - 9.2.2 - Two needle valve field test kit
  - 9.2.3 – Three needle valve field test kit

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### 9th vs 10th Field Test Procedures

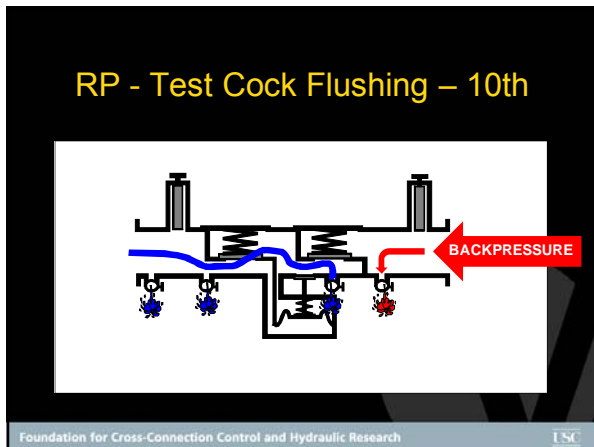
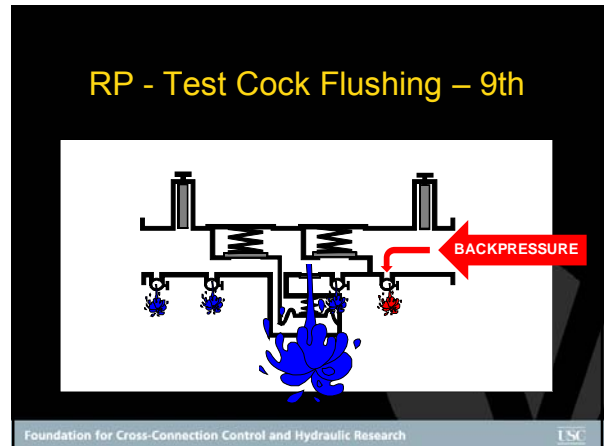
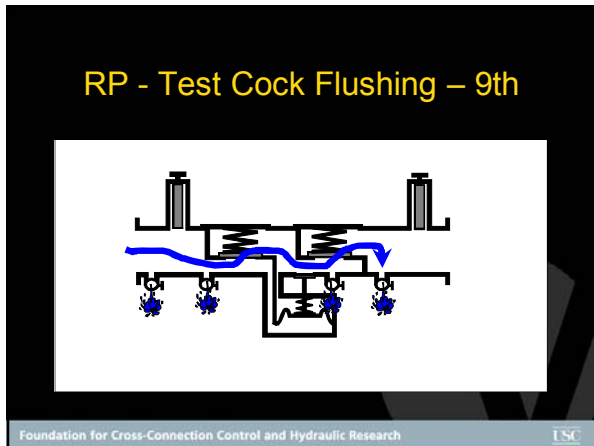
Reduced Pressure Principle Assembly (RP)	
9th Edition	10th Edition
<b>Test Cock Flushing</b>	
<b>Test No. 1, Step a:</b> Open TC No. 4, open/close TC No. 1, open/close TC No. 2, open/close TC No. 3, and close TC No. 4	<b>Test No. 1, Step a:</b> Open TC No. 4, open TC No. 3, open TC No. 2, open TC No. 1; then close TC No. 1, close TC No. 2, close TC No. 3, and close TC No. 4
<b>REASON:</b> This is to ensure that there is flow through the assembly to minimize the possibility of discharging the relief valve, especially if under a backpressure condition.	

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# Does Backflow Have Anything to do with Hydraulics

Paul H. Schwartz – USC FCCCHR

8 March 2012



### 9th vs 10th Field Test Procedures

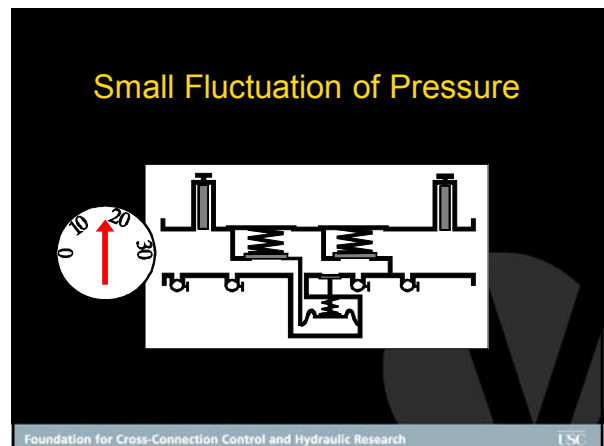
Reduced Pressure Principle Assembly (RP)	
9th Edition	10th Edition
<i>Check Valve No. 1</i>	
<b>Test No. 3, Step a: First check valve reading</b> should be 3.0 psid greater than the relief valve opening point.	<b>Test No. 3, Step a: First check valve reading</b> must be above the relief valve opening point and $\geq 5.0$ psid
<b>REASON:</b> To provide a required minimum value for the first check valve	

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### 9th vs 10th Field Test Procedures

Reduced Pressure Principle Assembly (RP)	
9th Edition	10th Edition
<i>Check Valve No. 1</i>	
<b>Test No. 3, Step a: First check valve reading</b> <b>should</b> be 3.0 psid greater than the relief valve opening point.	<b>Test No. 3, Step a: First check valve reading</b> must be above the relief valve opening point and $\geq 5.0$ psid
<b>REASON:</b> To provide a required minimum value for the first check valve	

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### Large Fluctuation of Pressure

The diagram shows a cross-section of a backflow preventer with two check valves. A pressure gauge on the left shows a needle fluctuating between 0 and 20 PSI. A blue arrow points to the second check valve, indicating a potential issue with its operation.

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### Manual - 10th Edition

- Chapter 9 - RP Field Test Procedures
  - 1st Check Valve
    - Eliminate recommendation for 3.0 PSID Buffer
    - Establish Minimum 5.0 PSID
      - **NOT A 5 PSI BUFFER!!!**
- Chapter 10 – RP Standard
  - 3.0 PSID buffer required during Laboratory Evaluation

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### RP – 1<sup>st</sup> Check Valve Reading

Results	9 <sup>th</sup> Edition	10 <sup>th</sup> Edition
1 <sup>st</sup> Ck: 4.5 RV: 2.0 2 <sup>nd</sup> Ck: ok	PASS	FAIL
1 <sup>st</sup> Ck: 5.2 RV: 4.4 2 <sup>nd</sup> Ck: ok	PASS	PASS

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### Manual - 10th Edition

- Section 9 - RP Field Test Procedures
  - 2nd Check Valve Direction of Flow
    - Only Valid if No. 2 Shutoff Valve is Tight

The diagram shows a backflow preventer with a pressure gauge. A blue arrow indicates the direction of flow through the second check valve. A callout box labeled 'Info in Appendix A' points to the second check valve.

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### Hydraulics

- Backflow and Backflow Prevention are all about Hydraulics
- Much more info can be covered
- Presentations
  - Size-up your audience appropriately
  - Don't assume they understand even the basic hydraulic issues about backflow

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### QUESTIONS

USC Viterbi  
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